

3676

if

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

Patent and Trademark Office: US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/577,332
	Filing Date	28 April 2006
	First Named Inventor	Robert D. Kaminsky et al.
	Group Art Unit	3676
	Examiner Name	George A. Suchfield
Total number of pages in this submission	Attorney Docket Number	2003UR038

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) ____	1. 1 Non-Patent Reference
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	2.
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

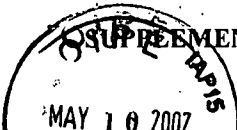
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	J. Paul Plummer, Reg. No. 40,775 ExxonMobil Upstream Research Company
Signature	<i>J. Paul Plummer</i>
Date	5.8.07

CERTIFICATE OF TRANSMISSION / MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:	
Signature	<i>Quinn Stansberry</i>
Date	5.8.07

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Z.B./
I:\urc\law\PATENTS\Geo - HSA\2003UR038\PCT\US Nat\IDS.Citation.Supplemental 2.Transmittal to USRO.doc

 <p>SUPPLEMENTAL INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>	Docket Number (Optional) 2003UR038	Application Number 10/577,332
	Applicants R. D. Kaminsky et al.	
	Filing Date April 28, 2006	Group Art Unit 3676

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3,882,941	05/13/1975	Pelofsky <i>djc</i>	166	303	
		4,384,614	05/24/1983	Justheim <i>djc</i>	166	259	
		6,581,684	06/24/2003	Wellington et al. <i>djc</i>	166	245	
		6,742,588	06/01/2004	Wellington et al. <i>djc</i>	166	245	
		6,782,947	08/31/2004	de Rouffignac et al. <i>djc</i>	166	245	
		6,880,633	04/19/2005	Wellington et al. <i>djc</i>	166	245	
		6,923,155	08/23/2005	Vinegar et al. <i>djc</i>	166	245	
		6,948,562	09/27/2005	Wellington et al. <i>djc</i>	166	272.1	
		6,964,300	11/15/2005	Vinegar et al. <i>djc</i>	166	245	
		6,969,123	11/29/2005	Vinegar et al. <i>djc</i>	299	3	
		7,011,154	03/14/2006	Maher et al. <i>djc</i>	166	245	
		7,048,051	08/05/2004	McQueen <i>djc</i>	166	261	
		7,066,254	06/27/2006	Vinegar et al. <i>djc</i>	166	245	
		7,073,578	07/11/2006	Vinegar et al. <i>djc</i>	166	245	
		7,104,319	09/12/2006	Vinegar et al. <i>djc</i>	166	245	
		7,121,342	10/17/2006	Vinegar et al. <i>djc</i>	166	302	
		US 2005/0269077	12/08/2005	Sadberg <i>djc</i>	166	249	
		US 2007/0045265	03/01/2007	McKinzie, II <i>djc</i>	219	207	

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO2007/033371	22 Mar 2007	PCT <i>djc</i>				

EXAMINER <div style="text-align: center;">/Zakiya Bates/</div>	DATE CONSIDERED <div style="text-align: center;">02/18/2008</div>
---	--

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-A820

Copyright 1994-97 LegalStar

P09A/REV03

Patent and Trademark Office* U.S. Department of Commerce

(also form PTO-1449)

SHEET 1 OF 1

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Z.B./

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.07(c))			Docket No. 2003UR038
In Re Application Of: Robert D. Kaminsky et al.			
Serial No. 10/577,332	Filing Date April, 2006	Examiner SUCHFIELD, GEORGE A	Group Art Unit 3676
Title: HYDROCARBON RECOVERY FROM IMPERMEABLE OIL SHALES USING SETS OF FLUID-HEATED FRACTURES			
<div style="text-align: center;"> <p>Address to:</p> <p>Assistant Commissioner for Patents</p> <p>Washington, DC 20231</p> </div> <div style="text-align: center;"> <p>37 CFR 1.97(b)</p> </div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.</p> <div style="text-align: center;"> <p>37 CFR 1.97(c)</p> </div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:</p> <div style="margin-left: 40px;"> <p>1. a Final Action under 37 CFR 1.113, or</p> <p>2. a Notice of Allowance under 37 CFR 1.311,</p> </div> <p style="margin-left: 40px;">Whichever occurs first.</p> <p>Also submitted herewith is:</p> <div style="margin-left: 40px;"> <p><input type="checkbox"/> a certification as specified in 37 CFR 1.97 (e);</p> </div> <div style="text-align: center; margin: 10px 0;"> <p>OR</p> </div> <div style="margin-left: 40px;"> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17 (p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).</p> </div>			

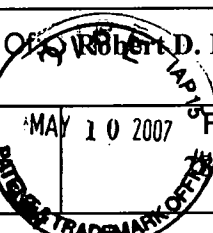
ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Z.B./

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.07(c))

Docket No.
2003UR038

In Re Application Of **Robert D. Kaminsky et al.**

Serial No.
10/577,332



Filing Date
April, 2006

Examiner
SUCHFIELD, GEORGE A

Group Art Unit
3676

Title: **HYDROCARBON RECOVERY FROM IMPERMEABLE OIL SHALES
USING SETS OF FLUID-HEATED FRACTURES**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **05-1328** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____.
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

J. Paul Plummer

Signature

5-8-07

Dated

J. Paul Plummer (SW-358) Reg No.: 40,775

ExxonMobil - Upstream Research Company

P. O. Box 2189

Houston, TX 77252-2189

Telephone: (713) 431-7360

Facsimile: (713) 431-4664

Certificate of Mailing Under 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on May 8, 2007.

Monica Stansberry
Monica Stansberry

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /Z.B./